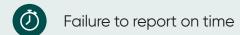
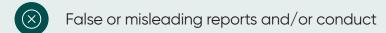
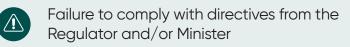


# 2025-26 Compliance and enforcement priorities

## **Regulator priorities**

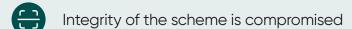






### Harms in focus

We will prioritise conduct that results in substantial detriment or harm for example:



Unfair business advantage

Decision-making based on inaccurate data

#### **Escalating approach to compliance** Intentional non-compliance Full force of the law decided not to comply Civil penalties ATTITUDE TO COMPLIANCE 「ake action Indifferent, unwilling Administrative action or careless Inform and query Engagement and warning letters Trying to comply Remediate including use of information gathering and compliance audit powers Compliant with Assist and **Educate and guide** obligations facilitate Education, guidance and outreach will raise awareness of the requirements and how to comply

## Regulator performance best practice principles





